

**SWABEY
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S.E.N.C.

PATENT AND TRADE MARK AGENTS

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Assistant Commissioner for Patents
Washington, D.C. 20231
U.S.A.



Ottawa
November 30, 2000

Sir:

Re: New United States Patent Application
**Title: SESSION INITIATION PROTOCOL
BASED ADVANCED INTELLIGENT
NETWORK/INTELLIGENT NETWORK
MESSAGING**
Inventor(s): Gawargy, Michael M., et al.
Assignee: Nortel Networks Limited
Our File: 9-13528-112US KD/bm

Transmitted herewith for filing is the patent application of:

**INVENTOR(S): Michael M. GAWARGY, and
Johanna Pearl Ruth NICOLETTA**

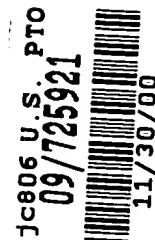
TITLE OF THE INVENTION:

**SESSION INITIATION PROTOCOL BASED ADVANCED
INTELLIGENT NETWORK/INTELLIGENT NETWORK
MESSAGING**

The following documents are enclosed:

- a) Specification/Claims/Abstract with
Declaration/Power of Attorney
- b) Assignment in favor of Nortel Networks Limited
with Recordation Form PTO-1595
- c) Formal Drawings (5 Sheets - Figs. 1 - 6)
- d) Information Disclosure Statement with PTO Form 1449

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ALAN SWABEY [1909-1990]
MELVIN SHER [1935-1994]

A FIRM IN WHICH SWABEY, MITCHELL,
HOULE, MARCOUX & SHER AND
THE LAW FIRM OGILVY RENAULT
ARE PARTNERS

November 30, 2000

- e) Request and Certification under 35 U.S.C. 122(b)(2)(B)(I)
- f) Our cheque No. 006914 and Fee Transmittal Form PTO/SB/17, covering:
- | | |
|---|-------------------|
| Filing Fee | \$ 710.00 |
| Recording of Assignment | \$ 40.00 |
| Total Number of Claims: | 71 |
| Independent claims in excess of 3 (.. @ \$80) | \$ Nil |
| Claims in excess of 20 (51 @ \$18) | \$ <u>918.00</u> |
| Total | \$1,668.00 |

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to our Account No. 19-5113.

Please note that the address of the agents for the applicant in this matter should be the Montreal address identified in the enclosed Declaration/Power of Attorney. Correspondence may be directed to Kent Daniels at the Montreal address of Swabey Ogilvy Renault.

Respectfully submitted



Kent Daniels
Reg. No. 44,206
Agent of Record
Encls.
As above

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Gawargy, Michael M.

Title

SESSION INITIATION PROTOCOL BASED ADVANCED
INTELLIGENT NETWORK/INTELLIGENT NETWORK

Atty Docket Number

9-13528-112US MESSAGING
KD/bm

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

29 November 2000

Date



Signature

Kent Daniels Reg.No. 44,206

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,668

Complete if Known

Application Number	
Filing Date	November 30, 2000
First Named Inventor	Michael M. Gawargy
Examiner Name	
Group Art Unit	
Attorney Docket No.	9-13528-112US

09/725921
11/30/00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-5113

Deposit Account Name Swabey Ogilvy Renault

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	201	710	355	Utility filing fee	710
106	206	320	160	Design filing fee	
107	207	490	245	Plant filing fee	
108	208	710	355	Reissue filing fee	
114	214	150	75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
71	-20** = 51	X 18	= 918
Independent Claims	3	- 3** =	= 0
Multiple Dependent			= 0

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	203	18	9	Claims in excess of 20
102	202	80	40	Independent claims in excess of 3
104	204	270	135	Multiple dependent claim, if not paid
109	209	80	40	** Reissue independent claims over original patent
110	210	18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 918

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	205	130	65	Surcharge - late filing fee or oath	
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	
139	239	130	130	Non-English specification	
147	247	2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
112	212	920*	920*	Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	215	110	55	Extension for reply within first month	
116	216	390	195	Extension for reply within second month	
117	217	890	445	Extension for reply within third month	
118	218	1,390	695	Extension for reply within fourth month	
128	228	1,890	945	Extension for reply within fifth month	
119	219	310	155	Notice of Appeal	
120	220	310	155	Filing a brief in support of an appeal	
121	221	270	135	Request for oral hearing	
138	238	1,510	1,510	Petition to institute a public use proceeding	
140	240	110	55	Petition to revive - unavoidable	
141	241	1,240	620	Petition to revive - unintentional	
142	242	1,240	620	Utility issue fee (or reissue)	
143	243	440	220	Design issue fee	
144	244	600	300	Plant issue fee	
122	222	130	130	Petitions to the Commissioner	
123	223	50	50	Petitions related to provisional applications	
126	226	240	240	Submission of Information Disclosure Stmt	
581	281	40	40	Recording each patent assignment per property (times number of properties)	40
146	246	710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	710	355	Request for Continued Examination (RCE)	
169	269	900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40

SUBMITTED BY

Name (Print/Type)	Kent Daniels	Registration No. (Attorney/Agent)	44,206	Telephone	613-230-6072
Signature	<i>K. Daniels</i>	Date	Nov 29/00		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.